



REASONS TO CONSIDER

**High Growth Potential**

In 2020, the number of active online banking users was approaching 1.9bn worldwide. Forecasts suggest that number could reach 2.5bn by 2024, highlighting the vast reach in just one segment of this theme.⁽¹⁾

**Global Tailwinds**

FinTech is a global theme, poised to benefit as expanding broadband and mobile internet penetration coincide with a rising middle class in developing markets, historically under-served by traditional financial services.

**Changing Consumer Preferences**

While FinTech companies have historically catered to the digital preferences of younger consumers, they are increasingly competing on cost and convenience across a range of more established industries like lending and insurance.

KEY INFORMATION

Inception Date	09/12/2016
Underlying Index	Indxx Global FinTech Thematic Index
Number of Holdings	63
Assets Under Management	\$374.71 mil
Total Expense Ratio	0.68%
Distribution Frequency	Semi-Annually

TRADING DETAILS

Ticker	FINX
CUSIP	37954Y814
Exchange	NASDAQ
Bloomberg IOPV Ticker	FINXIV
Index Ticker	IFINXNT

PERFORMANCE (%)

	1M	YTD	1Y	3Y	5Y	Since Inception
NAV	-0.58%	8.09%	-18.01%	-12.10%	-3.08%	5.74%
Market Price	-0.53%	7.79%	-17.88%	-12.04%	-3.06%	5.77%
Index	-0.56%	8.13%	-17.92%	-11.78%	-2.61%	6.29%

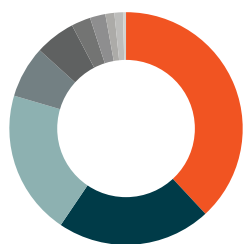
TOP 10 HOLDINGS (%) *Holdings Subject to Change*

Adyen Nv	6.92%	Block Inc	4.72%
Intuit Inc	6.39%	Ss&c Technologie	4.24%
Fiserv Inc	5.84%	Global Payments Inc	4.04%
Fidelity Nationa	5.20%	Jack Henry	3.77%
Paypal Holdings Inc	5.10%	Coinbase Globa-a	3.76%

The performance data quoted represents past performance and does not guarantee future results. Investment return and principal value of an investment will fluctuate so that an investor's shares, when sold or redeemed, may be worth more or less than their original cost. Current performance may be higher or lower than the performance quoted. High short-term performance, when observed, is unusual and investors should not expect such performance to be repeated. Returns for periods greater than one year are annualized.

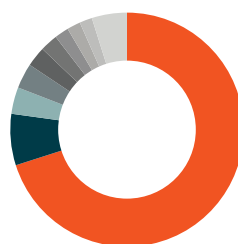
[Click here](#) for standard performance as of the most recent quarter-end.

INDUSTRY BREAKDOWN (%)



● Packaged Software	38.10%
● Data Processing Services	21.36%
● Information Technology Services	20.11%
● Miscellaneous Commercial Services	7.19%
● Internet Software/Services	5.60%
● Finance/Rental/Leasing	2.67%
● Investment Managers	2.14%
● Financial Conglomerates	1.25%
● Investment Banks/Brokers	1.18%
● Property/Casualty Insurance	0.42%

COUNTRY BREAKDOWN (%)



● United States	70.07%
● Netherlands	7.09%
● New Zealand	3.69%
● Italy	3.47%
● Britain	2.77%
● Switzerland	2.45%
● South Korea	1.96%
● Brazil	1.87%
● China	1.75%
● Others*	4.87%

* Denmark 1.39%, Australia 0.94%, Canada 0.79%, Israel 0.65%, Uruguay 0.56%, Germany 0.40%, Japan 0.14%



FINTECH THEMES ELIGIBLE FOR INCLUSION

Mobile Payments	Companies that provide platforms and services that focus on peer-to-peer mobile payments and POS (Point-of-sale) services.
Peer-to-Peer (P2P) and Marketplace Lending	Companies that provide online platforms for peer-to-peer and marketplace lending that is disrupting the traditional lending sector.
Enterprise Solutions	Companies that provide scalable technology solutions focused on disrupting traditional areas of financial services such as lending, credit scoring and banking.
Crowd-Funding	Companies that provide online platforms for sourcing funds from individual members.
Blockchain and Alternative Currency Developers	Companies that are involved in the development of blockchain technology, or that utilize blockchain technology to provide financial services. Companies that are involved in the development of other alternative currencies are also eligible for inclusion.
Personal Finance Software, Automated Wealth Management and Trading	Companies that are involved in developing personal finance software, robo-advisor solutions that leverage automation/algorithms, and/or are technology-enabled investment solution providers.

DEFINITIONS

Indxx Global FinTech Thematic Index	The Indxx Global FinTech Thematic Index seeks to invest in companies on the leading edge of the emerging financial technology sector, which encompasses a range of innovations helping to transform established industries like insurance, investing, fundraising, and third-party lending through unique mobile and digital solutions.
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(1) Statista, Mar 2021

Investing involves risk, including the possible loss of principal. The investable universe of companies in which FINX may invest may be limited. The Fund invests in securities of companies engaged in Information Technology which can be affected by rapid product obsolescence, and intense industry competition. International investments may involve risk of capital loss from unfavorable fluctuation in currency values, from differences in generally accepted accounting principles or from social, economic or political instability in other nations. FINX is non-diversified. The information provided is not intended for trading purposes, and should not be considered investment advice.

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