

ALPS Emerging Sector Dividend Dogs ETF

Fact Sheet | September 30, 2022

VALUE, INCOME, DIVERSIFICATION

The ALPS Emerging Sector Dividend Dogs ETF (EDOG) is an Exchange-Traded Fund that applies the 'Dogs of the Dow Theory' on a sector-by-sector basis using the S-Network Emerging Markets Index as its starting universe of eligible securities. This strategy provides the following potential benefits:

- **High Yield Relative to Emerging Markets Broad Based Indices** – Starting with a smaller, quality universe such as the S-Net Emerging Sector Dividend Dogs Index diminishes the likelihood of troubled and financially distressed companies entering the Index and allows dividend yield to be the primary selection criterion for the Index.
- **Sector, Stock and Country Diversification** – EDOG provides high dividend exposure across 10 sectors of the market that make up the S-Net Emerging Markets Index (excluding the real estate sector) by selecting the five highest yielding securities in each sector and equally weighting them. In addition, the country representation is capped at five eligible securities per country. This methodology provides diversification at the sector, stock and country level.
- **Dogs Theory** – EDOG isolates the S-Net Emerging Markets Index constituents with the highest dividend yield in their respective sectors providing the potential for price appreciation as market forces bring their yield into line with the overall market.

Performance as of 9/30/2022

Total Returns	Cumulative				Annualized			
	1 M	3 M	YTD	SI ¹	1 Y	3 Y	5 Y	SI ¹
NAV (Net Asset Value)	-8.54%	-6.17%	-22.87%	2.42%	-22.60%	-0.05%	-1.63%	0.28%
Market Price	-8.58%	-7.43%	-22.75%	2.02%	-22.49%	-0.05%	-1.82%	0.24%
S-Network Emerging Sector Dividend Dogs Index - NTR ²	-8.61%	-6.15%	-22.70%	9.53%	-22.19%	0.66%	-0.94%	1.08%
Morningstar Emerging Markets Index - NR ³	-11.08%	-9.95%	-25.23%	18.79%	-25.84%	-0.48%	-0.48%	2.04%

Performance data quoted represents past performance. Past performance is no guarantee of future results so that shares, when redeemed, may be worth more or less than their original cost. The investment return and principal value will fluctuate. Current performance may be higher or lower than the performance quoted. For current month-end performance call 1-866-759-5679 or visit www.alpsfunds.com. Performance includes reinvested distributions and capital gains.

Market Price is based on the midpoint of the bid/ask spread at 4 p.m. ET and does not represent the returns an investor would receive if shares were traded at other times.

¹ Fund inception date: 3/27/2014

² S-Network Emerging Sector Dividend Dogs Index: a rules-based index intended to give investors a means of tracking the overall performance of the highest dividend paying stocks in the S-Network Emerging Markets Index, a universe of mainly large capitalization stocks domiciled in emerging markets on a sector-by-sector basis. Emerging market countries are countries that major international financial institutions, such as the World Bank, generally consider to be less economically mature than developed nations.

³ Morningstar Emerging Markets Index: captures the performance of the stocks located in the emerging countries across the world. Stocks in the index are weighted by their float capital, which removes corporate cross ownership, government holdings and other locked-in shares.

One may not invest directly in an index.

Fund Details

Ticker:	EDOG
Underlying Index:	EDOGX
Listing Exchange:	NYSE Arca
CUSIP:	00162Q668
Inception Date:	3/27/2014
Distributions Paid:	Quarterly
Most Recent Distribution*:	\$0.3082
Total Operating Expenses:	0.60%
NAV:	\$18.11
30-Day SEC Yield [^] :	6.84%
30-Day SEC Yield (Unsubsidized):	6.84%

* Distribution paid on 9/29/2022

[^] 30-Day SEC Yield: reflects the dividends and interest earned during the period, after the deduction of the Fund's expenses.

Investment Objective

The Fund seeks investment results that replicate as closely as possible, before fees and expenses, the performance of the S-Network Emerging Sector Dividend Dogs Index (EDOGX).

Index Allocations

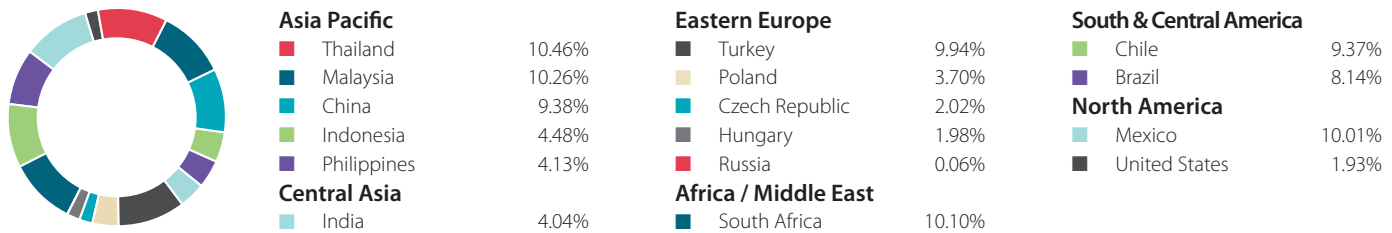


Health Care	10.46%
Consumer Staples	10.28%
Communication Services	10.21%
Financials	10.01%
Information Technology	9.98%
Consumer Discretionary	9.95%
Industrials	9.94%
Materials	9.84%
Energy	9.76%
Utilities	9.57%

As of 9/30/2022, subject to change

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Index Country Allocations



As of 9/30/2022, subject to change

Index Constituents

Communication Services		Financials		Materials	
MCG SJ	MULTICHOICE GROUP LTD	RHBBANK MK	RHB BANK BHD	ARI SJ	AFRICAN RAINBOW MINERALS LTD
GLO PM	GLOBE TELECOM INC	MAY MK	MALAYAN BANKING BHD	EREGL TI	EREGLI DEMIR VE CELIK FABRIK
VOD SJ	VODACOM GROUP LTD	TTB TB	TMBTHANACHART BANK PCL	TX US	TERNIUM SA-SPONSORED ADR
TEL PM	PLDT INC	BSAN CI	BANCO SANTANDER CHILE	ORBIA* MM	ORBIA ADVANCE CORP SAB DE CV
TKC US	TURKCELL ILETISIM HIZMET-ADR	PZU PW	POWSZECHNY ZAKLAD UBEZPIECZE	CMPC CI	EMPRESAS CMPC SA
				NLMK LI	NOVOLIPETSK STEEL PJSC-GDR
Consumer Discretionary		Health Care		Utilities	
FROTO TI	FORD OTOMOTIV SANAYI AS	KLBF IJ	KALBE FARMA TBK PT	EBR US	CENTRAIS ELETRICAS BR-SP ADR
VBRR3 BZ	VIBRA ENERGIA SA	HART MK	HARTALEGA HOLDINGS BHD	EGIE3 BZ	ENGIE BRASIL ENERGIA SA
LIVEPOLC MM	EL PUERTO DE LIVERPOOL-C1	BDMS TB	BANGKOK DUSIT MED SERVICE	CEZ CP	CEZ AS
LPP PW	LPP SA	RIGHT HB	RICHTER GEDEON NYRT	1071 HK	HUADIAN POWER INTL CORP-H
MRP SJ	MR PRICE GROUP LTD	TOPG MK	TOP GLOVE CORP BHD	ENIC US	ENEL CHILE SA-ADR
Consumer Staples		Industrials		Source: Bloomberg L.P., as of 9/30/2022, subject to change	
BIMAS TI	BIM BIRLESIK MAGAZALAR AS	SIME MK	SIME DARBY BERHAD		
GGRM IJ	GUDANG GARAM TBK PT	BTS TB	BTS GROUP HOLDINGS PCL		
KIMBERA MM	KIMBERLY-CLARK DE MEXICO-A	ASR US	GRUPO AEROPORTUARIO SUR-ADR		
CENCOSUD CI	CENCOSUD SA	ENKAI TI	ENKA INSAAT VE SANAYI AS		
JBSS3 BZ	JBS SA	1157 HK	ZOOMLION HEAVY INDUSTRY - H		
FIVE LI	X 5 RETAIL GROUP NV-REGS GDR				
Energy		Information Technology			
1088 HK	CHINA SHENHUA ENERGY CO-H	DELTA TB	DELTA ELECTRONICS THAI PCL		
PTT TB	PTT PCL	WIT US	WIPRO LTD-ADR		
PETR3 BZ	PETROBRAS - PETROLEO BRAS	INFY US	INFOSYS LTD-SP ADR		
EXX SJ	EXXARO RESOURCES LTD	3969 HK	CHINA RAILWAY SIGNAL & COM-H		
COPEC CI	EMPRESAS COPEC SA	3396 HK	LEGEND HOLDINGS CORP-H		

Important Disclosures & Definitions

An investor should consider the investment objectives, risks, charges and expenses carefully before investing. This material must be preceded or accompanied by the [prospectus](#). Read the prospectus carefully before investing.

Shares are not individually redeemable. Investors buy and sell shares on a secondary market. Only market makers or "authorized participants" may trade directly with the Fund, typically in blocks of 5,000, 25,000 or 50,000 shares.

Performance data quoted represents past performance. Past performance is no guarantee of future results; current performance may be higher or lower than performance quoted.

All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of this investment is available in the prospectus.

The Fund is subject to the additional risks associated with concentrating its investments in companies in the market sector.

Diversification does not eliminate the risk of experiencing investment losses.

The Fund's investments in non-US issuers may involve unique risks compared to investing in securities of US issuers, including, among others, less liquidity generally, greater market volatility than US securities and less complete financial information than for US issuers. In addition, adverse political, economic or social developments could undermine the value of the Fund's investments or prevent the Fund from realizing the full value of its investments. Finally, the value of the currency of the country in which the Fund has invested could decline relative to the value of the US dollar, which may affect the value of the investment to US investors.

The Fund employs a "passive management" - or indexing - investment approach and seeks investment results that correspond (before fees and expenses) generally to the performance of its underlying index. Unlike many investment companies, the Fund is not "actively" managed. Therefore, it would not necessarily sell or buy a security unless that security is removed from or added to the underlying index, respectively.

Dogs of the Dow Theory: an investment strategy which proposes that an investor annually select for investment the ten Dow Jones Industrial Average stocks whose dividend is the highest fraction of their price.

ALPS Advisors, Inc. is affiliated with ALPS Portfolio Solutions Distributor, Inc.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Fund.

Not FDIC Insured • No Bank Guarantee • May Lose Value