

ALPS Sector Dividend Dogs ETF

Fact Sheet | June 30, 2022

VALUE, INCOME, DIVERSIFICATION

The ALPS Sector Dividend Dogs ETF (SDOG) is an Exchange-Traded Fund that applies the 'Dogs of the Dow Theory' on a sector-by-sector basis using the S&P 500 as its starting universe of eligible securities. This strategy provides the following potential benefits:

- **High Dividend Yield Relative to US Large Cap Indices** – Starting with a smaller, quality universe such as the S&P 500 diminishes the likelihood of troubled and financially distressed companies entering the index and allows dividend yield to be the primary selection criterion for the index.
- **Sector and Stock Diversification** – SDOG provides high dividend exposure across all 10 sectors of the market by selecting the five highest yielding securities in each sector and equally weighting them. This provides diversification at both the stock and sector level.
- **Dogs Theory** – SDOG isolates the S&P 500 constituents with the highest dividend yield in their respective sectors providing the potential for price appreciation as market forces bring their yield into line with the overall market.

Performance as of 6/30/2022

Total Returns	Cumulative				Annualized				
	1 M	3 M	YTD	SI ¹	1 Y	3 Y	5 Y	10 Y	SI ¹
NAV (Net Asset Value)	-9.47%	-7.97%	-4.55%	190.81%	-1.60%	9.08%	7.26%	11.04%	11.26%
Market Price	-9.44%	-8.02%	-4.53%	190.93%	-1.58%	9.17%	7.26%	11.07%	11.27%
S-Network Sector Dividend Dogs Index - TR ²	-9.50%	-7.95%	-4.42%	204.26%	-1.26%	9.52%	7.71%	11.55%	11.77%
S&P 500 Index - TR ³	-8.25%	-16.10%	-19.96%	238.16%	-10.62%	10.60%	11.31%	12.96%	12.95%

Performance data quoted represents past performance. Past performance is no guarantee of future results so that shares, when redeemed, may be worth more or less than their original cost. The investment return and principal value will fluctuate. Current performance may be higher or lower than the performance quoted. For current month-end performance call 1-866-759-5679 or visit www.alpsfunds.com. Performance includes reinvested distributions and capital gains.

Market Price is based on the midpoint of the bid/ask spread at 4 p.m. ET and does not represent the returns an investor would receive if shares were traded at other times.

¹ Fund inception date: 6/29/2012

² S-Network Sector Dividend Dogs Index: a rules-based index intended to give investors a means of tracking the overall performance of the highest dividend paying stocks in the S&P 500 on a sector-by-sector basis.

³ S&P 500 Index: widely regarded as the best single gauge of large-cap US equities. The index includes 500 leading companies and covers approximately 80% of available market capitalization.

One may not invest directly in an index.

Fund Details

Ticker:	SDOG
Underlying Index:	SDOGX
Listing Exchange:	NYSE Arca
CUSIP:	00162Q858
Inception Date:	6/29/2012
Distributions Paid:	Quarterly
Most Recent Distribution*:	\$0.5214
Total Operating Expenses:	0.40%
NAV:	\$50.12
30-Day SEC Yield [^] :	3.68%
30-Day SEC Yield (Unsubsidized):	3.68%

* Distribution paid on 6/30/2022

[^] 30-Day SEC Yield: reflects the dividends and interest earned during the period, after the deduction of the Fund's expenses.

Investment Objective

The Fund seeks investment results that replicate as closely as possible, before fees and expenses, the performance of the S-Network Sector Dividend Dogs Index (SDOGX).

Index Allocations



Health Care	10.71%
Financials	10.35%
Communication Services	10.22%
Industrials	10.18%
Utilities	10.14%
Consumer Staples	10.08%
Information Technology	10.06%
Consumer Discretionary	9.94%
Materials	9.41%
Energy	8.91%

As of 6/30/2022, subject to change

Index Constituents

Communication Services		Financials		Information Technology	
T US	AT&T Inc	TFC US	Truist Financial Corp	IBM US	International Business Machines Corp
VZ US	Verizon Communications Inc	PFG US	Principal Financial Group Inc	CSCO US	Cisco Systems Inc
LUMN US	Lumen Technologies Inc	PRU US	Prudential Financial Inc	INTC US	Intel Corp
IPG US	Interpublic Group of Cos Inc/The	HBAN US	Huntington Bancshares Inc/OH	HPE US	Hewlett Packard Enterprise Co
OMC US	Omnicom Group Inc	BEN US	Franklin Resources Inc	STX US	Seagate Technology Holdings PLC
Consumer Discretionary		Health Care		Materials	
NWL US	Newell Brands Inc	ABBV US	AbbVie Inc	AMCR US	Amcort PLC
HAS US	Hasbro Inc	BMJ US	Bristol-Myers Squibb Co	IP US	International Paper Co
WHR US	Whirlpool Corp	GILD US	Gilead Sciences Inc	NEM US	Newmont Corp
VFC US	VF Corp	AMGN US	Amgen Inc	LYB US	LyondellBasell Industries NV
BBY US	Best Buy Co Inc	CAH US	Cardinal Health Inc	DOW US	Dow Inc
Consumer Staples		Industrials		Utilities	
CAG US	Conagra Brands Inc	HII US	Huntington Ingalls Industries Inc	PNW US	Pinnacle West Capital Corp
KHC US	Kraft Heinz Co/The	LMT US	Lockheed Martin Corp	SO US	Southern Co/The
PM US	Philip Morris International Inc	CMI US	Cummins Inc	PPL US	PPL Corp
WBA US	Walgreens Boots Alliance Inc	SNA US	Snap-on Inc	FE US	FirstEnergy Corp
MO US	Altria Group Inc	MMM US	3M Co	EIX US	Edison International
Energy					
WMB US	Williams Cos Inc/The				
KMI US	Kinder Morgan Inc				
OKE US	ONEOK Inc				
XOM US	Exxon Mobil Corp				
VLO US	Valero Energy Corp				

Source: Bloomberg L.P., as of 6/30/2022, subject to change

Important Disclosures & Definitions

An investor should consider the investment objectives, risks, charges and expenses carefully before investing. This material must be preceded or accompanied by the [prospectus](#). Read the prospectus carefully before investing.

Shares are not individually redeemable. Investors buy and sell shares on a secondary market. Only market makers or "authorized participants" may trade directly with the Fund, typically in blocks of 5,000, 25,000 or 50,000 shares.

Performance data quoted represents past performance. Past performance is no guarantee of future results; current performance may be higher or lower than performance quoted.

All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of this investment is available in the prospectus.

The Fund is subject to the additional risks associated with concentrating its investments in companies in the market sector.

Diversification does not eliminate the risk of experiencing investment losses.

The Fund employs a "passive management" - or indexing - investment approach and seeks investment results that correspond (before fees and expenses) generally to the performance of its underlying index. Unlike many investment companies, the Fund is not "actively" managed. Therefore, it would not necessarily sell or buy a security unless that security is removed from or added to the underlying index, respectively.

Dogs of the Dow Theory: an investment strategy which proposes that an investor annually select for investment the ten Dow Jones Industrial Average stocks whose dividend is the highest fraction of their price.

ALPS Advisors, Inc. is affiliated with ALPS Portfolio Solutions Distributor, Inc.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Fund.

Not FDIC Insured • No Bank Guarantee • May Lose Value

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