

ALPS Emerging Sector Dividend Dogs ETF

Fact Sheet | March 31, 2022

VALUE, INCOME, DIVERSIFICATION

The ALPS Emerging Sector Dividend Dogs ETF (EDOG) is an Exchange-Traded Fund that applies the 'Dogs of the Dow Theory' on a sector-by-sector basis using the S-Network Emerging Markets Index as its starting universe of eligible securities. This strategy provides the following potential benefits:

- **High Yield Relative to Emerging Markets Broad Based Indices** – Starting with a smaller, quality universe such as the S-Net Emerging Sector Dividend Dogs Index diminishes the likelihood of troubled and financially distressed companies entering the index and allows dividend yield to be the primary selection criterion for the index.
- **Sector, Stock and Country Diversification** – EDOG provides high dividend exposure across 10 sectors of the market that make up the S-Network Emerging Markets Index (excluding the real estate sector) by selecting the five highest yielding securities in each sector and equally weighting them. In addition, the country representation is capped at five eligible securities per country. This methodology provides diversification at the sector, stock and country level.
- **Dogs Theory** – EDOG isolates the S-Network Emerging Markets Index constituents with the highest dividend yield in their respective sectors providing the potential for price appreciation as market forces bring their yield into line with the overall market.

Performance as of 3/31/2022

Total Returns	Cumulative				Annualized			
	1 M	3 M	YTD	SI ¹	1 Y	3 Y	5 Y	SI ¹
NAV (Net Asset Value)	2.82%	-3.85%	-3.85%	27.67%	5.30%	6.95%	4.39%	3.10%
Market Price	-0.01%	-3.54%	-3.54%	27.40%	5.12%	6.94%	4.22%	3.07%
S-Network Emerging Sector Dividend Dogs Index - NTR ²	2.44%	-3.68%	-3.68%	36.50%	6.16%	7.81%	5.19%	3.96%
Morningstar Emerging Markets Index - NR ³	-1.65%	-5.94%	-5.94%	49.43%	-8.57%	6.23%	6.60%	5.14%

Performance data quoted represents past performance. Past performance is no guarantee of future results so that shares, when redeemed, may be worth more or less than their original cost. The investment return and principal value will fluctuate. Current performance may be higher or lower than the performance quoted. For current month-end performance call 1-866-759-5679 or visit www.alpsfunds.com. Performance includes reinvested distributions and capital gains.

Market Price is based on the midpoint of the bid/ask spread at 4 p.m. ET and does not represent the returns an investor would receive if shares were traded at other times.

¹ Fund inception date: 3/27/2014

² S-Network Emerging Sector Dividend Dogs Index: a rules-based index intended to give investors a means of tracking the overall performance of the highest dividend paying stocks in the S-Network Emerging Markets Index, a universe of mainly large capitalization stocks domiciled in emerging markets on a sector-by-sector basis. Emerging market countries are countries that major international financial institutions, such as the World Bank, generally consider to be less economically mature than developed nations.

³ Morningstar Emerging Markets Index: captures the performance of the stocks located in the emerging countries across the world. Stocks in the index are weighted by their float capital, which removes corporate cross ownership, government holdings and other locked-in shares.

One may not invest directly in an index.

Fund Details

Ticker:	EDOG
Underlying Index:	EDOGX
Listing Exchange:	NYSE Arca
CUSIP:	00162Q668
Inception Date:	3/27/2014
Distributions Paid:	Quarterly
Most Recent Distribution*:	\$0.1473
Total Operating Expenses:	0.60%
NAV:	\$23.42
30-Day SEC Yield [^] :	5.33%
30-Day SEC Yield (Unsubsidized):	5.33%

* Distribution paid on 3/31/2022

[^] 30-Day SEC Yield: reflects the dividends and interest earned during the period, after the deduction of the Fund's expenses.

Investment Objective

The Fund seeks investment results that replicate as closely as possible, before fees and expenses, the performance of the S-Network Emerging Sector Dividend Dogs Index (EDOGX).

Index Allocations



Consumer Discretionary	10.70%
Utilities	10.22%
Consumer Staples	10.14%
Industrials	10.12%
Materials	9.91%
Energy	9.88%
Health Care	9.84%
Communication Services	9.80%
Financials	9.74%
Information Technology	9.66%

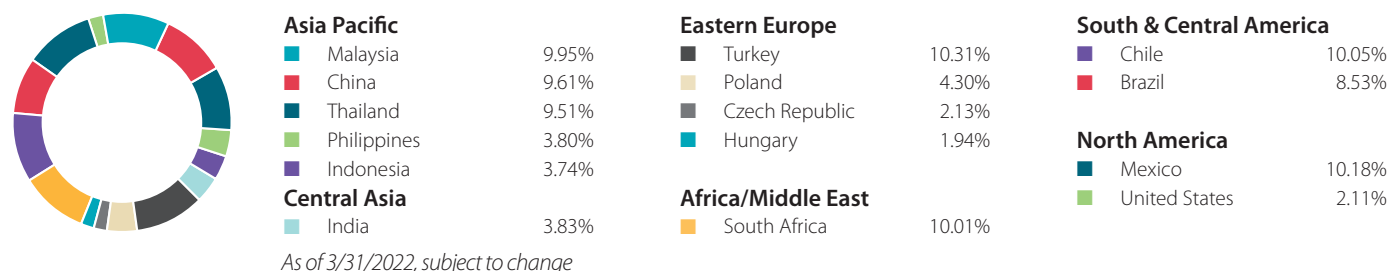
As of 3/31/2022, subject to change

EDOG

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Index Country Allocations



Index Constituents

Communication Services		Financials		Information Technology	
GLO PM	Globe Telecom Inc	BSAN CI	Banco Santander Chile	3396 HK	Legend Holdings Corp
MCG SJ	MultiChoice Group	MAY MK	Malayan Banking Bhd	3969 HK	China Railway Signal & Communication Corp Ltd
TEL PM	PLDT Inc	PZU PW	Powszechny Zaklad Ubezpieczen SA	DELTA TB	Delta Electronics Thailand PCL
TKC US	Turkcell Iletisim Hizmetleri AS	RHBBANK MK	RHB Bank Bhd	INFY US	Infosys Ltd
VOD SJ	Vodacom Group Ltd	TTB TB	TMBThanachart Bank PCL	WIT US	Wipro Ltd
Consumer Discretionary		Health Care		Materials	
FROTO TI	Ford Otomotiv Sanayi AS	BDMS TB	Bangkok Dusit Medical Services PCL	ARI SJ	African Rainbow Minerals Ltd
LIVEPOLC MM	El Puerto de Liverpool SAB de CV	HART MK	Hartalega Holdings Bhd	CMPC CI	Empresas CMPC SA
LPP PW	LPP SA	KLBF IJ	Kalbe Farma Tbk PT	EREGL TI	Eregli Demir ve Celik Fabrikalari TAS
MRP SJ	Mr Price Group Ltd	RICHT HB	Richter Gedeon Nyrt	ORBITA* MM	Orbia Advance Corp SAB de CV
VBBR3 BZ	Vibra Energia SA	TOPG MK	Top Glove Corp Bhd	TX US	Ternium SA
Consumer Staples		Industrials		Utilities	
BIMAS TI	BIM Biresik Magazalar AS	1157 HK	Zoomlion Heavy Industry Science and Technology Co Ltd	1071 HK	Huadian Power International Corp Ltd
CENCOSUD CI	Cencosud SA	ASR US	Grupo Aeroportuario del Sureste SAB de CV	CEZ CP	CEZ AS
GGRM IJ	Gudang Garam Tbk PT	BTS TB	BTS Group Holdings PCL	EBR US	Centrais Eletricas Brasileiras SA
JBSS3 BZ	JBS SA	ENKAI TI	Enka Insaat ve Sanayi AS	EGIE3 BZ	Engie Brasil Energia SA
KIMBERA MM	Kimberly-Clark de Mexico SAB de CV	SIME MK	Sime Darby Bhd	ENIC US	Enel Chile SA
Energy					
1088 HK	China Shenhua Energy Co Ltd				
COPEC CI	Empresas COPEC SA				
EXX SJ	Exxaro Resources Ltd				
PETR3 BZ	Petroleo Brasileiro SA				
PTT TB	PTT PCL				

Source: Bloomberg L.P., as of 3/31/2022, subject to change

Important Disclosures & Definitions

An investor should consider the investment objectives, risks, charges and expenses carefully before investing. This material must be preceded or accompanied by the [prospectus](#). Read the prospectus carefully before investing.

Shares are not individually redeemable. Investors buy and sell shares on a secondary market. Only market makers or "authorized participants" may trade directly with the Fund, typically in blocks of 5,000, 25,000 or 50,000 shares.

Performance data quoted represents past performance. Past performance is no guarantee of future results; current performance may be higher or lower than performance quoted.

All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of this investment is available in the prospectus.

The Fund is subject to the additional risks associated with concentrating its investments in companies in the market sector.

Diversification does not eliminate the risk of experiencing investment losses.

The Fund's investments in non-US issuers may involve unique risks compared to investing in securities of US issuers, including, among others, less liquidity generally, greater market volatility than US securities and less complete financial information than for US issuers. In addition, adverse political, economic or social developments could undermine the value of the Fund's investments or prevent the Fund from realizing the full value of its investments. Finally, the value of the currency of the country in which the Fund has invested could decline relative to the value of the US dollar, which may affect the value of the investment to US investors.

The Fund employs a "passive management" - or indexing - investment approach and seeks investment results that correspond (before fees and expenses) generally to the performance of its underlying index. Unlike many investment companies, the Fund is not "actively" managed. Therefore, it would not necessarily sell or buy a security unless that security is removed from or added to the underlying index, respectively.

Dogs of the Dow Theory: an investment strategy which proposes that an investor annually select for investment the ten Dow Jones Industrial Average stocks whose dividend is the highest fraction of their price.

ALPS Advisors, Inc. is affiliated with ALPS Portfolio Solutions Distributor, Inc.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Fund.

Not FDIC Insured • No Bank Guarantee • May Lose Value

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