

# SPDR® S&P 600™ Small Cap ETF

## Key Features

- The SPDR® S&P 600™ Small Cap ETF seeks to provide investment results that, before fees and expenses, correspond generally to the total return performance of the S&P Small Cap 600® Index (the "Index")
- The Index is float-adjusted and market capitalization weighted

## About This Benchmark

The S&P Small Cap 600® Index measures the performance of the small-capitalization sector in the U.S. equity market. The Index is float-adjusted and market capitalization weighted.

## Fund Information

Inception Date	11/08/2005
CUSIP	78464A813

# SLY

Fact Sheet

Equity

As of 12/31/2021

## Total Return (As of 12/31/2021)

	NAV (%)	Market Value (%)	Index (%)
<b>Cumulative</b>			
QTD	5.61	5.57	5.64
YTD	26.74	26.70	26.82
<b>Annualized</b>			
1 Year	26.74	26.70	26.82
3 Year	20.05	20.05	20.11
5 Year	12.36	12.34	12.42
10 Year	14.38	14.39	14.50

## Gross Expense Ratio (%)

0.15

## 30 Day SEC Yield (%)

1.08

**Performance quoted represents past performance, which is no guarantee of future results. Investment return and principal value will fluctuate, so you may have a gain or loss when shares are sold. Current performance may be higher or lower than that quoted. All results are historical and assume the reinvestment of dividends and capital gains. Visit [ssga.com](http://ssga.com) for most recent month-end performance. Performance of an index is not illustrative of any particular investment. It is not possible to invest directly in an index. Index funds are unmanaged and do not reflect the deduction of any fees or expenses. Index returns reflect all items of income, gain and loss and the reinvestment of dividends and other income as applicable.**

The S&P SmallCap 600 Index reflects linked performance returns of both the S&P SmallCap 600 Index and the Dow Jones U.S. Small-Cap Total Stock Market Index. The index returns are reflective of the Dow Jones U.S. Small-Cap Total Stock Market Index from fund inception until 12/17/2010 and of the S&P SmallCap 600 Index effective 12/17/2010.

## Characteristics

Est. 3-5 Year EPS Growth	15.61%
Index Dividend Yield	1.23%
Price/Earnings Ratio FY1	14.55
Number of Holdings	601
Price/Book Ratio	2.11
Average Market Cap (M)	US\$2,717.46

Top 10 Holdings	Weight (%)
Omniceil Inc.	0.74
Innovative Industrial Properties Inc	0.58
Exponent Inc.	0.56
AMN Healthcare Services Inc.	0.54
UFP Industries Inc.	0.53
Chart Industries Inc.	0.53
Balchem Corporation	0.51
Watts Water Technologies Inc. Class A	0.50
MaxLinear Inc.	0.49
Vonage Holdings Corp.	0.49

Totals may not equal 100 due to rounding.

Top Sectors	Weight (%)
Financials	18.69
Industrials	17.09
Information Technology	13.74
Health Care	12.34
Consumer Discretionary	12.07
Real Estate	8.05
Materials	5.05
Energy	4.76
Consumer Staples	4.52
Utilities	1.90
Communication Services	1.79

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### Information Classification: General

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### Glossary

**NAV** The market value of a mutual fund's or ETFs total assets, minus liabilities, divided by the number of shares outstanding.

**Market Value** Determined by the midpoint between the bid/offer prices as of the closing time of the New York Stock Exchange (typically 4:00PM EST) on business days.

**Gross Expense Ratio** The fund's total annual operating expense ratio. It is gross of any fee waivers or expense reimbursements. It can be found in the fund's most recent prospectus.

**30 Day SEC Yield** (Also known as Standardized Yield) An annualized yield that is calculated by dividing the net investment income earned by the fund over the most recent 30-day period by the current maximum offering price.

**Est. 3-5 Year EPS Growth** Based on the underlying holdings of the fund. The actual earnings estimates for the underlying holdings are provided by FactSet, First Call, I/B/E/S Consensus, and Reuters and are used to calculate a mean 3-5 year EPS growth rate estimate.

**Index Dividend Yield** The weighted average of the underlyings' indicated annual dividend

divided by price, expressed as a percentage.

**Price/Earnings Ratio FY1** The weighted harmonic average of current share price divided by the forecasted one year earnings per share for each security in the fund. Negative and positive outliers are included in the calculation.

**Price/Book Ratio** The weighted harmonic average of closing market price divided by the most recent reported book value for each security in the fund's portfolio as calculated for the last twelve months.

### Important Risk Information

Weights are as of the date indicated, are subject to change, and should not be relied upon as current thereafter.

Investing involves risk including the risk of loss of principal.

The information provided does not constitute investment advice and it should not be relied on as such. It should not be considered a solicitation to buy or an offer to sell a security. It does not take into account any investor's particular investment objectives, strategies, tax status or investment horizon. You should consult your tax and financial advisor.

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This communication is not intended to be an investment recommendation or investment advice and should not be relied upon as such. Investments in **small-sized companies** may involve greater risks than in those of larger, better known companies. Returns on

investments in stocks of small companies could trail the returns on investments in stocks of larger companies.

**Equity securities** may fluctuate in value in response to the activities of individual companies and general market and economic conditions.

**Non-diversified fund** may invest in a relatively small number of issuers, a decline in the market value may affect its value more than if it invested in a larger number of issuers. While the Fund is expected to operate as a diversified fund, it may become non-diversified for periods of time solely as a result of changes in the composition of its benchmark index.

The Fund may not purchase securities of any issuer if, as a result, more than 5% of the Fund's total assets would be invested in that issuer's securities; except as may be necessary to approximate the composition of its target index. This limitation does not apply to obligations of the U.S. government or its agencies or instrumentalities.

**Passively managed funds** hold a range of securities that, in the aggregate, approximates the full Index in terms of key risk factors and other characteristics. This may cause the fund to experience tracking errors relative to performance of the index.

**ETFs** trade like stocks, are subject to investment risk, fluctuate in market value and may trade at prices above or below the ETFs net asset value. Brokerage commissions and ETF expenses will reduce returns.

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**Not FDIC Insured**

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**May Lose Value**

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