

As of 9/30/2021

BARRON'S 400SM ETF

Fund Data as of 9/30/2021

Ticker	BFOR
Listing Exchange	NYSE Arca
Cusip	00162Q726
Inception Date	06/04/2013
Net Asset Value	\$58.89
Last Annual Distribution	\$0.0163
Last Payable Date	9/23/21
Dividends Paid	Annually
Shares Outstanding	2,450,000
Management Fee	0.65%
Other Expenses	0.00%
Total Operating Expenses	0.65%

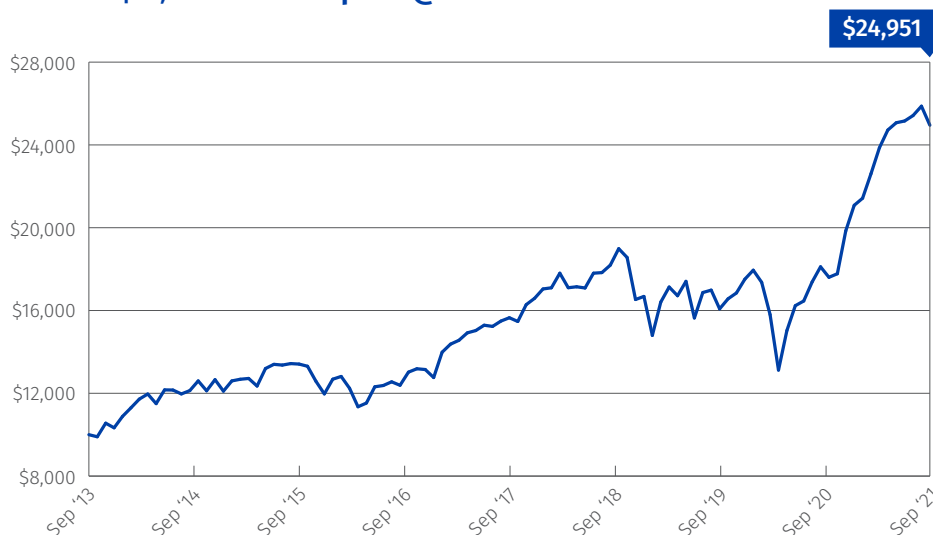
Top 10 Rated Holdings * as of 9/30/2021

Louisiana-Pacific Corporation	●	92.90
Sturm, Ruger & Company, Inc.	●	91.00
Regeneron Pharmaceuticals, Inc.	●	89.70
Flagstar Bancorp, Inc.	●	89.60
Hologic, Inc.	●	89.50
Atkore Inc.	●	88.90
Catalyst Pharmaceuticals, Inc.	●	88.10
Fulgent Genetics, Inc.	●	88.10
Boise Cascade Co.	●	88.10
Alphabet Inc. Class A	●	87.80

*Rating based on MarketGrader's overall grade in a range of zero to 100 based on company fundamentals across Growth, Value, Profitability and Cash Flow

Want to Own Some of America's Fastest Growing Companies in a Single Share?

Growth of \$10,000 Since Inception @ NAV



Rules-Based Fundamental Stock Selection ETF

Growth at a Reasonable Price (GARP) Index

Diversified U.S. Core Equity Exposure

Fund Description

The Barron's 400 ETF is based on the Barron's 400 Index. The equal weighted smart beta Index is composed of the highest-rated U.S. stocks based on bottom-up fundamental analysis from MarketGrader. The Index employs a growth at a reasonable price (GARP) methodology to identify financially sound companies across sectors and market cap segments that are likely to create significant shareholder value over the long-term. As such, the Index is designed to be a benchmark of capital appreciation in the U.S. equity asset class. The result is a diversified core U.S. equity portfolio that uses rules-based stock selection in seeking to outperform popular market cap weighted benchmarks over market cycles.

Weighting

The underlying Index is equal weighted. Upon semi-annual rebalance, each component comprises approximately .25% of the Index. Equal weighting prevents a small minority of companies from steering the entire index, giving smaller issues equal opportunity to contribute to overall performance.

"Barron's®" is a service mark of Dow Jones & Company, Inc. and has been licensed to MarketGrader Capital LLC for use with the Barron's 400 IndexSM and sublicensed for certain purposes by ALPS Advisors, Inc. ALPS's Barron's 400SM ETF based on the Barron's 400 IndexSM, is not sponsored, endorsed, sold or promoted by Dow Jones, or its affiliates, and Dow Jones and its affiliates make no representation regarding the advisability of investing in such product. ALPS Portfolio Solutions Distributor, Inc. and ALPS Advisors, Inc. are not affiliated with Barron's® and MarketGrader Capital LLC.

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BARRON'S 400SM ETF

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Performance as of 9/30/2021

Total Returns	1 Month	3 Month	6 Month	YTD	1 Year	3 Year ¹	5 Year ¹	Since Inception ¹
Barron's 400 SM ETF (NAV) ²	-3.56%	-0.82%	4.57%	18.36%	41.73%	10.36%	13.67%	11.61%
Barron's 400 SM ETF (Market Price) ³	-3.89%	-0.82%	4.11%	18.38%	41.83%	10.39%	13.68%	11.61%
Barron's 400 Index SM (Benchmark) ⁴	-3.50%	-0.66%	4.89%	18.95%	42.70%	11.10%	14.40%	12.35%

Performance data quoted represents past performance. Past performance does not guarantee future results. Current performance may be higher or lower than actual data quoted. Call 1.855.724.0450 or visit www.barrons400etf.com for current month end performance. The investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost.

¹ Annualized. Fund inception date of 06/04/2013.

² NAV is an exchange-traded fund's (ETF) per-share value. The per-share dollar amount of the fund is derived by dividing the total value of all the securities in its portfolio, less any liabilities, by the number of fund shares outstanding.

³ Market Price is based on the midpoint of the bid/ask spread at 4 p.m. ET and does not represent the returns an investor would receive if shares were traded at other times.

⁴ The Barron's 400 IndexSM, calculated by NYSE Euronext or its affiliates, measures the performance of a diversified group of U.S. companies selected in part based on fundamentals-related rules-based criteria. The index includes companies that have scored highest according to fundamentals-related rankings calculated by MarketGrader. Additional rules-based screening provides for sector and market cap diversification. The Index has been licensed to MarketGrader Capital LLC for use with the Barron's 400 IndexSM. You cannot invest directly in an index.

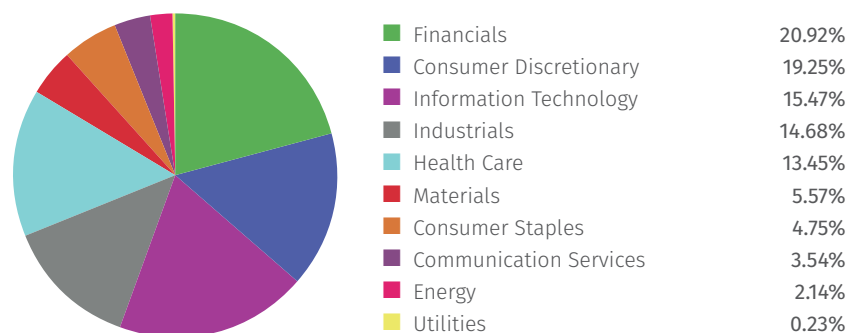
Index Portfolio Characteristics as of 9/30/2021

Average Market Capitalization	\$46,969 Million
Median Market Capitalization	\$10,098 Million
Largest Company	AAPL/ \$2,339 Million
Smallest Company	EMKR/ \$276 Million
Rebalance Date	9/20/21
Companies Replaced	179
Companies In Index At Least Two Consecutive Years	65
Biggest Sector Increase	Industrials
Biggest Sector Decrease	Technology
Companies Overlapping S&P 500 Index	158

About MarketGrader

MarketGrader is an independent global equity research and index provider. MarketGrader's stock research platform rates over 35,000 equities based on their underlying fundamental strength. The company's growth at a reasonable price methodology is designed to identify consistent creators of economic value as it believes such stocks are the best long-term generators of shareholder value. MarketGrader publishes a family of domestic and international smart beta equity indexes in addition to the Barron's 400, which was created jointly with Barron's and Dow Jones Indexes as a collection of America's most promising companies. The company's clients include investment managers, broker dealers, hedge funds, financial advisors and individual investors.

Sector Allocation [^] as of 9/30/2021



[^] Subject to Change

About Barron's

Barron's is America's premier financial magazine, renowned for its market-moving stories. Published by Dow Jones & Co. since 1921, it reaches an influential audience of senior corporate officers, institutional investors, individual investors and financial professionals.

Investment Objective

The Fund seeks investment results that correspond generally, before fees and expenses, to the performance of the Barron's 400 IndexSM (the "Underlying Index").

An investor should consider the investment objectives, risks, charges and expenses carefully before investing. To obtain a prospectus, which contain this and other information please contact your financial professional or call 1.855.724.0450. Read the prospectus carefully before investing. There are risks involved with investing in ETFs including the loss of money. Shares are not individually redeemable. Investors buy and sell shares on a secondary market. Only market makers or "authorized participants" may trade directly with the Fund, typically in blocks of 5,000, 25,000 or 50,000 shares.

Diversification does not eliminate the risk of experiencing investment losses.

ETFs are considered to have continuous liquidity because they allow for an individual to trade throughout the day.

Funds that emphasize investments in small/mid cap companies will generally experience greater price volatility.

Barron's 400SM ETF shares are not individually redeemable. Investors buy and sell shares of the Barron's 400SM ETF on a secondary market. Only market makers or "authorized participants" may trade directly with the Fund, typically in blocks of 50,000 shares.

ALPS Portfolio Solutions Distributor, Inc. is the Distributor for the Barron's 400SM ETF.

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