

3Q.2021

# Xtrackers MSCI EAFE ESG Leaders Equity ETF



Ticker: EASG

## Objective and strategy

Xtrackers MSCI EAFE ESG Leaders Equity ETF seeks investment results that correspond generally to the performance, before fees and expenses, of the MSCI EAFE ESG Leaders Index. The MSCI EAFE ESG Leaders Index is designed to provide exposure to companies across developed market countries, excluding Canada and the United States, that exhibit high environmental, social and governance (ESG) performance relative to their sector peers.

### ETF DETAILS (9/30/21)

NYSE ticker	EASG
NAV ticker	EASG.NV
Intraday ticker	EASG.IV
Inception date	09/05/2018
Number of holdings	408
Net assets	\$20,046,012.34
Expense ratio*	0.14%
CUSIP	233051218

\* Expense information in the table reflects current fees as of the latest prospectus.

### INDEX DETAILS (9/30/21)

Provider	MSCI
Name	MSCI EAFE ESG Leaders Index
Ticker	MIEEL
Inception date	09/01/2010
Number of constituents	406
Average market cap	\$21,315 million
Price-to-book <sup>1</sup>	2.16
Price-to-earnings <sup>2</sup>	20.37
Dividend yield <sup>3</sup>	2.46%
Number of countries	21
Number of currencies	13

### ETF PERFORMANCE (9/30/21)

	3-months	1-year	3-year	5-year	10-year	Since ETF Inception
ETF (at NAV)	0.46%	24.07%	8.99%	—	—	9.63%
Market price returns	0.10%	23.43%	8.73%	—	—	9.57%
MSCI EAFE ESG Leaders Index	0.43%	24.12%	8.96%	—	—	9.60%
MSCI EAFE Index	-0.45%	25.73%	7.62%	—	—	8.44%

Performance quoted represents past performance and does not guarantee future results. Investment returns and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost. Current performance may be lower or higher than the performance data quoted. Shares are bought and sold at market price (closing price) not net asset value (NAV) and are not individually redeemed from the Fund. Market price returns are based on the midpoint of the bid/ask spread at 4:00 pm Eastern Time (when NAV is normally determined) and do not represent the return you would receive if you traded at other times. To obtain the most recent month-end performance data visit [www.Xtrackers.com](http://www.Xtrackers.com) or call 855-329-3837.

Indexes are unmanaged; you cannot invest in an index. Index performance is gross of fees and assumes dividend reinvestment. Inclusion of fees would have reduced returns.

## MSCI EAFE ESG Leaders Fund methodology

### 1 / Starting point

MSCI EAFE Index

### 2 / Screens and exclusions

#### Business involvement exclusions

- Alcohol, controversial and conventional weapons, gambling, civilian firearms, tobacco, nuclear power

#### MSCI Controversy score

- Must score above 2 to qualify for inclusion
- Maintain a score above 0 to remain in the index

### 3 / ESG ratings applied

- Each company is assessed on its management of ESG risks and opportunities
- 200 ESG analysts evaluate 8,500+ companies
- Companies are then rated AAA-CCC
- Analysis involves 1000+ data points and 14 years of shareholder meeting results

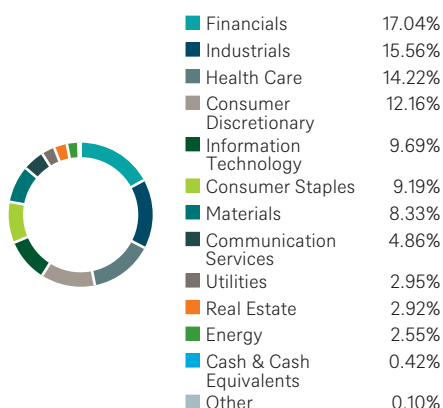
### 4 / Rankings and selection

- Select highest ESG rated companies by sector
- Target 50% market cap of each sector to maintain sector neutrality

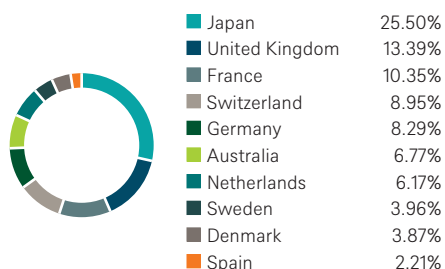
### 5 / Result: EASG

Tracking underlying index: MSCI EAFE ESG Leaders Index

## SECTOR WEIGHTINGS (9/30/21)



## TOP 10 COUNTRY WEIGHTINGS (9/30/21)



## TOP HOLDINGS (9/30/21)

ASML Holding	3.62%
Roche Holding	2.95%
AstraZeneca	2.14%
Novo Nordisk	1.87%
Unilever	1.64%
SAP	1.63%
Sony	1.62%
Commonwealth Bank of Australia	1.54%
Total	1.37%
L'Oreal	1.20%

Holdings-based data is subject to change.

## Contact

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<sup>1</sup> Price-to-book ratio is a valuation ratio of a stock's market value compared to its book value.

<sup>2</sup> Price-to-earnings ratio (P/E) is a stock's current price divided by the company's trailing 12-month earnings per share from continuous operations.

<sup>3</sup> Dividend yield is a weighted-sum of the annualized dividends of the index components divided by the most recent index value. Annualized dividends are determined using a sum of all dividend announcements during the previous 12 months.

Xtrackers ETFs ("ETFs") are managed by DBX Advisors LLC (the "Adviser"), and distributed by ALPS Distributors, Inc. ("ALPS"). The Adviser is a subsidiary of DWS Group GmbH & Co. KGaA, and is not affiliated with ALPS.

The funds or securities referred to herein are not sponsored, endorsed, issued, sold or promoted by MSCI, and MSCI bears no liability with respect to any such funds or securities or any index on which such funds or securities are based. The Prospectus contains a more detailed description of the limited relationship MSCI has with DBX Advisors LLC and any related funds. Shares are not individually redeemable, and owners of Shares may acquire those Shares from the Fund, or tender such Shares for redemption to the Fund, in Creation Units only. The brand DWS represents DWS Group GmbH & Co. KGaA and any of its subsidiaries such as DWS Distributors, Inc., which offers investment products, or DWS Investment Management Americas, Inc. and RREEF America L.L.C., which offer advisory services.

**Carefully consider the fund's investment objectives, risk factors, and charges and expenses before investing. This and other information can be found in the fund's prospectus, which may be obtained by calling 1-855-DBX-ETFS (1-855-329-3837), or by viewing or downloading a prospectus from [www.Xtrackers.com](http://www.Xtrackers.com). Read the prospectus carefully before investing.**

## ESG investment strategy risk:

The Underlying Indices' ESG methodology, and thus the Funds' investment strategy, limits the types and number of investment opportunities available to the Funds and, as a result, the Fund may underperform other funds that do not have an ESG focus. The Underlying Index's ESG methodology may result in the Fund investing in securities or industry sectors that underperform the market as a whole or underperform other funds screened for ESG standards. In addition, the index provider may be unsuccessful in creating an index composed of companies that exhibit positive ESG characteristics.

**War, terrorism, economic uncertainty, trade disputes, public health crises (including the recent pandemic spread of the novel coronavirus) and related geopolitical events could lead to increased market volatility, disruption to U.S. and world economies and markets and may have significant adverse effects on the fund and its investments.**

**Risk:** Investing involves risk, including the possible loss of principal. Incorporation of ESG criteria in the fund's investment strategy does not guarantee a return or protect against a loss, limits the types and number of investment opportunities available to the fund and, as a result, the fund may underperform other funds that do not have an ESG focus. Stocks may decline in value. Foreign investing involves greater and different risks than investing in US companies, including currency fluctuations, less liquidity, less developed or less efficient trading markets, lack of comprehensive company information, political instability and differing auditing and legal standards. This fund is non-diversified and can take larger positions in fewer issues, increasing its potential risk. Performance of the fund may diverge from that of the Underlying Index due to operating expenses, transaction costs, cash flows, use of sampling strategies or operational inefficiencies. An investment in this fund should be considered only as a supplement to a complete investment program for those investors willing to accept the risks associated with the fund. Please read the prospectus for more information.

Investment products: No bank guarantee | Not FDIC insured | May lose value