

GRES

IQ Global Resources ETF

All data as of 9/30/21

Fund description: The Fund seeks investment results that track, before fees and expenses, the price and yield performance of the IQ Global Resources Index. The IQ Global Resources Index uses a tiered weighting approach to provide exposure to companies in commodity-specific market segments and whose equity securities trade in developed markets, including the United States. These segments include livestock; precious metals; grains, food, and fiber; energy; industrial metals; timber; and water.

Why Natural Resources

Population growth, finite resources, inflation and valuations are return drivers for natural resources.

Broad Diversification

Seven sectors, tiered weighting approach provides broad access and helps avoids concentration risk¹.

Cost

Among the lowest cost natural resource products available.

Average Annual Total Returns (%)

SI = Since Fund Inception (10/27/2009)

	QTR	YTD	1 Yr	3 Yrs	5 Yrs	10 Yrs	SI
IQ Global Resources ETF (NAV)	0.10	11.18	23.29	5.71	4.62	2.59	3.01
IQ Global Resources ETF (MP)	-0.10	11.66	23.27	5.85	4.50	2.68	3.01
IQ Global Resources Index	0.43	12.09	24.69	6.83	5.87	3.81	4.15
S&P Global Natural Resources Index (Net)	-2.90	16.07	41.30	3.92	8.82	4.00	2.22
Bloomberg Commodity Spot Index	6.27	28.60	46.18	12.82	10.02	2.14	3.31

Calendar Year Returns (%)

	2020	2019	2018	2017	2016	2015	2014	2013	2012	2011
IQ Global Resources ETF (NAV)	4.07	9.29	-10.25	13.36	20.18	-19.37	-5.13	-3.28	7.61	-9.14
IQ Global Resources ETF (MP)	3.75	9.75	-10.94	13.87	20.86	-19.69	-5.05	-5.56	9.90	-10.27
IQ Global Resources Index	5.12	10.45	-9.27	15.17	23.20	-18.47	-3.44	-4.53	9.39	-8.96
S&P Global Natural Resources Index (Net)	-0.05	16.41	-13.08	21.98	31.45	-24.50	-10.18	0.96	6.60	-15.21
Bloomberg Commodity Spot Index	11.83	10.37	-10.55	7.56	23.14	-18.40	-17.04	-8.65	3.73	-8.12

Returns represent past performance which is no guarantee of future results. Current performance may be lower or higher. Investment return and principal value will fluctuate, and shares, when redeemed, may be worth more or less than their original cost. Visit www.newyorklifeinvestments.com for the most recent month-end performance. Expenses stated are as of the fund's most recent prospectus.

Portfolio data as of 9/30/21. Percentages based on total net assets and may change daily.

Top Holdings^{1,2} (%)

Bhp Group Ltd	3.93
Exxon Mobil Corp.	3.91
Rio Tinto Plc	3.14
Chevron Corp.	3.00
Royal Dutch Shell Plc-B Shs	2.95
Nutrien Ltd	2.43
Archer-Daniels-Midland Co	2.18
General Mills Inc.	2.16
Total Se	2.02
Tyson Foods Inc.-CI A	1.71

Shares are bought and sold at market price (not NAV) and are not individually redeemed from the Fund. Total Returns are calculated using the daily 4:00 pm ET net asset value (NAV). Since May 31, 2016, the price used to calculate the market price returns ("MP") is the mean between the day's last bid and ask prices on the fund's primary exchange. Any market price returns prior to May 31, 2016 were calculated using the day's closing price on the fund's primary exchange. The market price returns do not represent returns an investor would receive if shares were traded at other times.

Index performance is for illustrative purposes only and does not represent actual Fund performance. One cannot invest directly in an index. Performance data for the Index assumes reinvestment of dividends and is net of the management fees for the Index's components, as applicable, but it does not reflect management fees, transaction costs or other expenses that you would pay if you invested in the Fund directly. No representation is being made that any investment will achieve performance similar to that shown.

Fund Details

Ticker	GRES
Stock Exchange	NYSE Arca
Inception Date	10/27/2009
Total Net Assets	\$22.98 M
Morningstar Category	Natural Resources
Benchmark	IQ Global Resources Index
Number of Holdings	204
Holdings Structure	Equity
Weighted Average Market Cap	\$47.42 B
Price/Earnings	17.20
Price/Book Value	1.77

Fund Expenses*

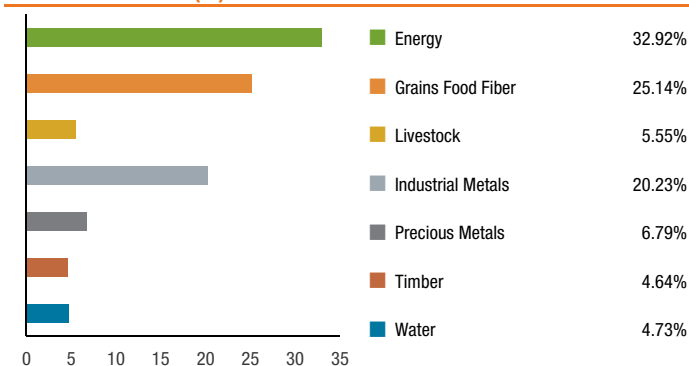
Management Fee	0.30%
Acquired Fund Fees and Other Expenses	0.01%
Total Annual Fund Operating Expenses	0.31%
Expense Waiver/Reimbursement	-0.01%
Total Annual Fund Operating Expenses After Waiver/Reimbursement	0.30%

Index Details

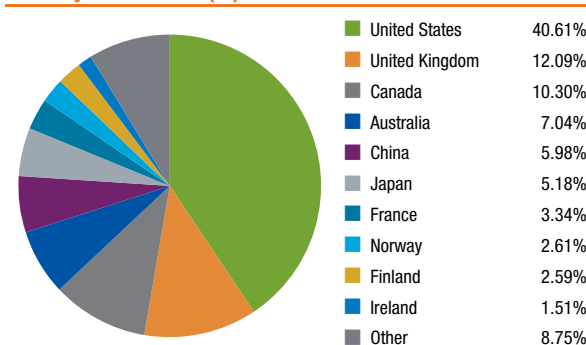
Ticker	IQGRES
Inception Date	10/31/2007
Standard Deviation	15.55%

*As stated in the Fund's prospectus, the management fee of 0.30% is expressed as a unitary fee to cover expenses incurred in connection with managing the portfolio. Performance reflects a contractual fee waiver and/or expense limitation agreement in effect through 8/31/22, without which total returns may have been lower. This agreement renews automatically for one-year terms unless written notice is provided before the start of the next term or upon approval of the Board.

Sector Allocation^{1,2} (%)



Country Allocation^{1,2} (%)



888-474-7725

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Before considering an investment in the Fund, you should understand that you could lose money.

As the Fund's investments are concentrated in the global resources sector, the value of its shares will be affected by factors specific to that sector and generally will fluctuate more widely than that of a fund which invests in a broad range of industries. The Fund is susceptible to foreign securities risk. Since the Fund invests in foreign markets, it will be subject to risk of loss not typically associated with domestic markets. Loss may result because of less foreign government regulation, less public information, less economic, political and social stability, or other factors. Investing in smaller companies involves special risks, including higher volatility and lower liquidity. Investing in mid-cap stocks may carry more risk than investing in stocks of larger, more well-established companies. The Fund may experience a portfolio turnover rate of over 100% that will increase transaction costs and may generate short-term capital gains which are taxable.

The IQ Global Resources Index is the exclusive property of IndexIQ which has contracted with Solactive to maintain and calculate the Index. IndexIQ® and IQ® are registered service marks of IndexIQ.

The IQ Global Resources Index uses momentum and valuation factors to identify global companies that operate in commodity-specific market segments and whose equity securities trade in developed markets, including the United States.

The S&P Global Natural Resources Index includes 90 of the largest publicly-traded companies in natural resources and commodities businesses that meet specific investability requirements, offering investors diversified and investable equity exposure across 3 primary commodity-related sectors: agribusiness, energy, and metals & mining.

The Bloomberg Commodity Spot Index measures the price movements of commodities included in the Bloomberg Commodity Index and select subindexes. It does not account for the effects of rolling futures contracts or the costs associated with holding physical commodities.

[†]Diversification cannot prevent a loss or guarantee a profit.

1. Percentages based on total net assets and subject to change without notice. **2.** Demonstrates the allocations of the Fund to the various sectors represented by the Fund's equity holdings. "Short Domestic" and "Short International" reflects short exposure. Cash and cash equivalent holdings are not shown in the sector and country allocation charts. As a result, the totals do not add up to 100%. The sector and country allocation information and holdings are subject to change without notice.

Price/Earnings Ratio is a valuation of a company's current share price compared to its per-share earnings and is not intended to demonstrate growth or income. **Price/Book Ratio** is used to compare a company's stock's value to its book value and is not intended to demonstrate growth or income. **Standard Deviation** measures how widely dispersed a fund's returns have been over a specified period of time. A high standard deviation indicates that the range is wide, implying greater potential for volatility.

Consider the Fund's investment objectives, risks, and charges and expenses carefully before investing. The prospectus and the statement of additional information include this and other relevant information about the Fund and are available by visiting www.newyorklifeinvestments.com or calling 888-474-7725. Read the prospectus carefully before investing.

Fund shares are not individually redeemable and will be issued and redeemed at their NAV only through certain authorized broker-dealers in large, specified blocks of shares called "creation units", and otherwise, can be bought and sold only through exchange trading. Creation units are issued and redeemed principally in-kind.

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